

HONORS EXPLORATION  
DIAGONALIZATION AND APPLICATIONS

**Instructions.** Show your work, and explain your steps! An unsupported correct answer will earn no credit, yet an incorrect answer supported by some correct logic will receive partial credit. You will be evaluated on the mathematical correctness, and on the quality and clarity of your writing.

**Objective.** You will discover a useful method for computing arbitrary powers of certain special matrices, the *diagonalizable* matrices, and investigate some interesting applications.

**Diagonalization.**

- (1) Look up the definition of a square *diagonal matrix* on Wikipedia, and summarize it here. Can a square diagonal matrix have an entry of zero somewhere on its diagonal?
- (2) Let  $D$  be a square  $n \times n$  diagonal matrix, with diagonal entries  $d_1, d_2, \dots, d_n$ . Compute  $D^2, D^3$ , and discover a formula for  $D^t$  for all nonnegative integers  $t$ .

We now change topics, but will return to diagonal matrices soon. For the problems below, let  $A$  be an  $n \times n$  matrix. Furthermore, suppose that  $\mathbf{p}_1, \dots, \mathbf{p}_n$  are eigenvectors of  $A$ , and that each eigenvector  $\mathbf{p}_i$  is associated to the eigenvalue  $\lambda_i$ . Note: It is possible that the list of eigenvalues  $\lambda_1, \dots, \lambda_n$  may contain repeats. Let  $P$  be the matrix whose columns are the list of eigenvectors, and  $D$  be the diagonal matrix whose diagonal matrices are the list of eigenvalues, *in the same order*.

- (3) What is  $A\mathbf{p}_i$ ?
- (4) Compute the matrix product  $AP$  using the definition of matrix multiplication presented in this class. If you have forgotten what this is, please look it up, or ask DH.
- (5) Compute the matrix product  $PD$ .
- (6) Compare your two answers, and prove the **Theorem**: If  $P$  is invertible, then  $A = PDP^{-1}$ .
- (7) Look up the definition of a *diagonalizable matrix* on Wikipedia, and compare it to your theorem.
- (8) Suppose that  $P$  is invertible. Compute  $A^2, A^3, A^4$ , and deduce a formula for  $A^k$  for all nonnegative integers  $k$ .
- (9) Let's consider a concrete example: Consider the matrix

$$A = \begin{bmatrix} 3 & 5 \\ 1 & -1 \end{bmatrix}.$$

Can you find a formula for  $A^k$  where  $k$  is any nonnegative integer?

- (10) What happens if you try to apply this cool trick to the following matrix?

$$A = \begin{bmatrix} 4 & 3 \\ 0 & 4 \end{bmatrix}$$

What is the matrix  $P$ ? What ways can you build the matrix  $D$ ? What does this example tell you about diagonalizable matrices?

**Lines of cats and dogs.** Suppose that you have a collection of  $n$  treats that you plan to feed to a group of cats and dogs, with each cat (C) receiving one treat, and each dog (D) receiving two treats. These are well-trained pets, and they know to line up when receiving their treats. Set  $x_0 = 1$ , and for every  $k$  at least 1, let  $x_k$  be the number of different lines that you can feed *exactly* with  $k$  treats. Here, *exactly* refers to the condition that you must not have any leftover treats.

- (11)  $x_0 = 1$  by definition, and  $x_1 = 1$  because the only line that can be fed exactly with one treat is C, but  $x_2 = 2$  because of the line CC and the line D. By counting all possible lines of pets, compute the values of  $x_3, x_4$ , and  $x_5$ .
- (12) Derive, *with full justification*, a recursive formula for  $x_k$ . To appreciate the recursive nature of this formula, write out the first 10 terms  $x_0, \dots, x_9$ .
- (13) Express your recursion as a matrix equation involving a  $2 \times 2$  matrix. In other words, find a matrix  $F$  such that  $F \begin{bmatrix} x_k \\ x_{k+1} \end{bmatrix} = \begin{bmatrix} x_{k+1} \\ x_{k+2} \end{bmatrix}$ .
- (14) Look up the *Golden Ratio* in Wikipedia and write a few words about it. For the rest of this problem, let  $\alpha$  be the Golden Ratio and  $\beta$  be its conjugate. Simplify the following:
  - $\alpha + \beta$
  - $\alpha - \beta$
  - $\alpha\beta$

*Please use these identities in what follows, as it will greatly simplify your computations.*

- (15) Investigate the vectors  $F \begin{bmatrix} x_0 \\ x_1 \end{bmatrix}$  and  $F^2 \begin{bmatrix} x_0 \\ x_1 \end{bmatrix}$  and  $F^3 \begin{bmatrix} x_0 \\ x_1 \end{bmatrix}$ . Can you establish a pattern?
- (16) Provide an explicit (i.e., non-recursive) formula for  $x_k$  in terms of  $\alpha$  and  $\beta$ .
- (17) Meditate on your formula for  $x_k$ , and verify that it is correct for  $x_0, \dots, x_9$ . How does it make you feel? Why would someone perhaps not believe it, at first sight?
- (18) How many different lines can you feed with 15 treats?

**Stacking chips.** You are playing in a low-stakes poker game, and are bored waiting for the other players to complete their turns. Recall that a \$5 dollar chip is red (R), a \$10 chip is blue (B), and a \$25 chip is green (G). To preoccupy yourself, you attempt to answer the following question: *How many different stacks of  $k$  chips can you build without consecutive G's?*

To establish notation, define  $s_0 = 1$ , and for every  $k$  at least 1, let  $s_k$  count the number of stacks you can build without consecutive G's.

- (19) By counting all possible stacks, compute the values of  $s_1, \dots, s_5$ .
- (20) Derive, *with full justification*, a recursive formula for  $s_k$ . To appreciate the recursive nature of this formula, write out the first 10 terms  $s_0, \dots, s_9$ .
- (21) Express your recursion as a matrix equation involving a  $2 \times 2$  matrix  $C$ . As above, call the eigenvalues of this matrix  $\gamma, \delta$  and simplify the following expressions:  $\gamma \pm \delta$  and  $\gamma\delta$ .
- (22) Describe the pattern you get when you apply powers of  $C$  to  $\begin{bmatrix} s_0 \\ s_1 \end{bmatrix}$ .
- (23) Provide an explicit (i.e., non-recursive) formula for  $s_k$ .
- (24) If you have 100 chips, how many stacks can you build without consecutive G's? **Note:** For this problem, use a computer to determine the exact answer. In particular, do not submit an approximation in terms of scientific notation, as a calculator might produce.

**Comments.** In this worksheet, for simplicity, all of our examples involved only  $2 \times 2$  matrices, but the principles you have developed here apply to square matrices of arbitrary size. You may gain some practice dealing with  $3 \times 3$  invertible matrices on your online homework.